

# Brochure Supplement

JANUARY 19, 2012

## **KATHLEEN L. KELLY**

147 North Shippen Street  
Lancaster, Pennsylvania 17602

(717) 207-7123

This Brochure Supplement provides information about Kathleen L. Kelly that supplements the Disclosure Brochure of Planning Strategies, Inc. (hereinafter "PSI"), a copy of which you should have received. Please contact PSI's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Kathleen L. Kelly is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Planning Strategies, Inc., a Registered Investment Adviser**

147 North Shippen Street, Lancaster, Pennsylvania 17602 | (717) 207-7123  
[www.visitpsionline.com](http://www.visitpsionline.com)

## Item 2. Educational Background and Business Experience

Born 1953

### Post-Secondary Education

Temple University | B.B.A., Finance and Human Resources | 1999

### Recent Business Background

Planning Strategies, Inc. | Operations Manager | January 2012 – Present

Brethen Village | Sales Counselor | December 2006 – September 2008

Ware Family Office | Executive Assistant | January 2003 – November 2006

## Item 3. Disciplinary Information

PSI is required to disclose information regarding any legal or disciplinary events material to a client's evaluation of Kathleen L. Kelly. PSI has no information to disclose in relation to this Item.

## Item 4. Other Business Activities

PSI is required to disclose information regarding any investment-related business or occupation in which Kathleen L. Kelly is actively engaged. PSI has no information to disclose in relation to this Item.

## Item 5. Additional Compensation

PSI is required to disclose information regarding any arrangement under which Kathleen L. Kelly receives an economic benefit from someone other than a client for providing investment advisory services. PSI has no information to disclose in relation to this Item.

## Item 6. Supervision

Steven M. Mitchell, Treasurer, is generally responsible for supervising Kathleen L. Kelly's advisory activities on behalf of PSI. The telephone number to reach Steven M. Mitchell is (717) 207-7123.

PSI supervises its personnel and the investments made in client accounts. PSI monitors the investments recommended by Kathleen L. Kelly to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. PSI periodically reviews the advisory activities of Kathleen L. Kelly, which may

include reviewing individual client accounts and correspondence (including e-mails) sent and received by Kathleen L. Kelly.

### **Item 7. Requirements for State Registered Advisers**

PSI is required to disclose information regarding Kathleen L. Kelly's involvement in certain civil, self-regulatory organization or administrative proceedings, arbitration awards or findings, or bankruptcy proceedings. PSI has no information to disclose in relation to this Item.